

PTO/SB/21 (09-04)

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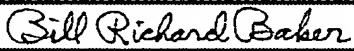
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/519,440	
	Filing Date	12/29/2004	
	First Named Inventor	Bill Richard Baker	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	7	Attorney Docket Number	RDWD0301-USA

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ENCLOSURES (Check all that apply)

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<input type="checkbox"/> Remarks Request for correction of USPTO records of documents previously submitted including claims amended under Article 19 of the PCT.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Bill Richard Baker		
Signature			
Printed name	Bill Richard Baker		
Date	02/21/2006	Reg. No.	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Bill Richard Baker	Date	02/21/2006

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Appn. Number: 10/519,440
 Appn. Filed: 12/29/2004
 Applicant: Bill Richard Baker
 Title: Compound Phase Encoding For Printed Servo Patterns
 10 Examiner/AU: Tzeng/2651

FEB 21 2006

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REQUEST FOR CORRECTION OF RECORDS C

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

25 Please correct the records of the US Patent Office to match documents submitted by Applicant for this matter. The first Office Action was difficult to understand until it was found through PAIR that files had been taken apart, some recombined under a new heading, and others grossly mislabeled. One file labeled "Amendment after final Office Action" was filed before the first Office Action was issued. Claims amended under Article 19 of the PCT
 30 were found, but they had not been entered in the Index of Claims and thus were ignored in the Office Action. Other amendments of claims were also misplaced.

In a telephone interview with the Primary Examiner on 02/14/2006 it was learned that documents were sent to an outside contractor for filing. Every sheet of the submitted
 35 documents was either a USPTO form with complete identification or it was provided with a header including identification of the application, section name, page number and total number of pages. Nevertheless, pages had been mixed in a manner confusing to both Examiner and Applicant.

40 Applicant prays that the files be organized and labeled as they were submitted, so that a clear basis exists for further discussions of this matter. A history of the submitted documents follows.

HISTORY: begins on page 3

45 **REMARKS:** begin on page 6

CONCLUSION: begins on page 7

HISTORY:

02/14/2003 Provisional patent application 60/447442 was filed

Provisional Patent Application, Docket RDWD-2003-01, of Bill R. Baker consisting of twenty-three sheets of specification, four sheets of drawing, cover sheet and fee transmittal, \$80.00 check for filing fee, and receipt postcard filed February 14, 2003.

2003
1323

JC971 U.S. PTO
60/447442

02/14/03

5 **12/23/2003** PCT application was filed

PCT Patent Application, Docket RDWD0301-PCT, of Bill R. Baker consisting of:
1 Transmittal letter to US Receiving Office,
5 sheets of PCT Request,
1 sheet of PCT Fee Calculation,
20 sheets of Description, Claims and Abstract,
4 sheets of drawings,
check # 3024 for \$2375.00,
and receipt postcard filed December 31, 2003.

PCT/US 03/41733
PTO6 Rec'd PCT/PTO 31 DEC 2003

01/12/2004 Rescission of nonpublication request on PTO/SB/36

Rescission of previous nonpublication request, PTO/SB/36, for Application Number 60/447,442 Docket number RDWD-2003-01-PPA of Bill R. Baker and receipt postcard.



U.S. PATENT & TRADEMARK OFFICE

06/30/2004 WIPO mailed the Search Report and acknowledged that claims could still be amended under Article 19. That Search confused Applicant's prior work, a rewrite or SSW technique, with the present invention, a final servo pattern.

5 **08/26/2004** Applicant submitted amended claims to WIPO (by FAX) under Article 19 within the prescribed time limit of two months. Confirmation was received from WIPO.

10 **09/02/2004** WIPO published application WO 2004/075176 A1 including the Search Report and original claims with an acknowledgement that claims could still be amended under Article 19.

15 **10/21/2004** WIPO published the Claims as amended under Article 19 and sent them to member states including the USPTO. Those claims were included in the Image File Wrapper as "Documents submitted with 371 Applications" dated 12/29/2004.

12/28/2004 The Inventor's Help Desk said that the National Patent Application should be filed first, then further amendments could be filed (35 USC 371).

12/29/2004 National patent application **10/519440** was filed.

20

National Patent Application, Docket RDWD0301-USA,
of Bill Richard Baker consisting of:
1) Transmittal form PTO-1390, 2 pages,
2) Declaration PTO/SB-01, 2 pages,
3) Check # 3053 for \$500.00 and DIT4RNCd PCT/PTO 29 DEC 2004
4) Receipt postcard 10/519440
filed December 29, 2004.

25 **02/16/2005** Applicant expanded terse mathematical terms of specification to emphasize differences (stated in Abstract of PCT application, but not recognized in WIPO Search) from Applicant's work of prior years. The claims under Article 19 were further amended to be more precise.

Appn. Number 10/519,440 (Baker)

Request C

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Transmittal of Bill R. Baker re RPA 10/519,440 consisting of

Transmittal Form	1 sheet
Letter	1 sheet
Substitute Specification	19 sheets
Mark-up of Prev. Spec.	19 sheets
Drawing (3/5) New Sheet	1 sheet
Amended Claims	9 sheets
Remarks	1 sheet
Conclusions	1 sheet
Total	52 sheets

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07/12/2005 Applicant became anxious that previous amendment might be misinterpreted as new matter by a non-technical reader. Therefore the Specification was replaced with that of the PCT application.

JCG4 Rec'd PCT/PTO 12 JUL 2005

Transmittal of Bill R. Baker re RPA 10/519,440 consisting of

Transmittal Form	1 sheet
Letter	1 sheet
Substitute Original Specification	16 sheets
Original Drawings	4 sheets
Remarks	1 sheet
Conclusions	1 sheet
Total	24 sheets

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Received for filing today:


JUL 12 2005
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11/24/2005 USPTO published the PCT application as US 2005/0259341 A1 with the Abstract but without the Claims amended under Article 19. The figure selected for the cover was not the relevant Fig. 3B of the WIPO publications.

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12/13/2005 The first Office Action was mailed, but it apparently was based on documents filed improperly by the outside contractor.

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Appn. Number 10/519,440 (Baker)

Request C

6 of 7

REMARKS: --- General

5 Applicant respectfully asks for help in reordering the files to provide a clear basis for further discussion.

5 **CONCLUSION**

Applicant has included the History of submitted documents to aid in reassembling the files.

Conditional Request for Constructive Assistance

10

Applicant respectfully requests the constructive assistance and suggestions of the Examiner pursuant to M.P.E.P. § 2173.02 and § 707.07(j) in order that the undersigned can place this application in allowable condition as soon as possible and without the need for further proceedings.

15

Very respectfully,

Bill R. Baker

Bill R. Baker

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Applicant Pro Se

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Redwood City, CA 94061

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